## **NOVARTIS UK LIMITED - COMPANY NUMBER: 398071**

## REGISTER OF PEOPLE WITH SIGNIFICANT CONTROL/ RELEVANT LEGAL ENTITY

| Name                                                                                                      | Novartis AG                                     |
|-----------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| Registered or Principal Office                                                                            | Lichtstrasse 35<br>4056 Basel<br>Switzerland    |
| Legal Form                                                                                                | Joint Stock Company                             |
| Governing Law                                                                                             | Swiss                                           |
| Register of Companies in which it is entered (if applicable) and its registration number in that register | Commercial Register of Basel<br>CHE-103.867.266 |
| Date of becoming a registrable person                                                                     | 6 April 2016                                    |

| SIGNIFICANT CONTROL OVER THE COMPANY |                           |                         |                                                                                          |
|--------------------------------------|---------------------------|-------------------------|------------------------------------------------------------------------------------------|
| Date Control<br>Commenced            | Date<br>Control<br>Ceased | Applicable<br>Condition | Prescribed wording for nature of control                                                 |
| 30/06/2000                           |                           | (i)                     | Directly or indirectly holding more than 25% of the shares.                              |
|                                      |                           | (ii)                    | Directly or indirectly holding more than 25% of the voting rights.                       |
|                                      |                           | (iii)                   | Directly or indirectly holding the right to appoint or remove the majority of directors. |
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